



Kentucky Department for Libraries and Archives
Local Records Program

Grant Application

Please review *Local Records Grant Guidelines* and consult with your *Regional Administrator* before completing this form. Use extra sheets if necessary and attach three written, itemized, project bid proposals for each project section. Please send the completed forms to:

Local Records Branch
Kentucky Department for Libraries and Archives
P.O. Box 537
Frankfort, Kentucky 40602-0537

Part A: Contact Information

Applicant Name: Montgomery County Clerk

Applicant Title: Chris Cockrell, Clerk

Office Address: 1 Court St Ste 2

Phone Number: 859-498-8700

Email Address: chris.cockrell@ky.gov

Federal ID Number: _____

Part B: Project Summary

Total Funds Requested: \$15,000.⁰⁰

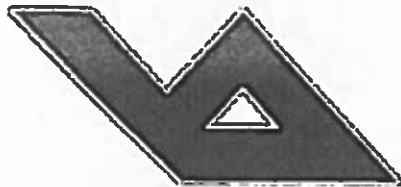
Please provide a complete description of the proposed project. Describe the project scope and the anticipated finished product(s). Explain why these records were selected for preservation as well as their historical significance and relevance to the community. Provide proposed methods for handling the records to ensure they conform to generally accepted archival and records management standards. See the guidelines for additional examples and attach additional sheets, if needed.

Index and scan Misc Records 2, 3, 5, 7, 8 and 9; Will Books A, B, C, D, E;

Commissioner Deed Books 6 & 7; Mechanic Lien Books 3

Also have bound books to be indexed and scanned once unbound
books are complete - Mortgage books 1-29; Commissioner Deed Books
1-5; Mechanic Lien Books 1-2.

We are trying to scan and move older books off-site to
preserve them - handling & taking apart for copying is causing
destruction of pages.



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Plan of Work

Please list preferred project vendor(s) for each section, vendor addresses, and vendor phone numbers. (If not selecting lowest bid proposal, please attach a justification.)

Section 1

Vendor Name: _____

Vendor Address: _____

Vendor Phone and Email: _____

Purpose: Security Microfilming ☐ Digitization ☐ Conservation ☐ Codification ☐ Salary ☐ Equipment/Supplies ☐
(Select all that apply)

Records	Date	Series	Cost
Example: Deed Books A-Z	1799-1858	L1317	\$6,255
Diazo Cost for _____ Copies			
Quality Control (Add 12.5% of the microfilming cost)			
Total Cost			

Section 2

Vendor Name: _____

Vendor Address: _____

Vendor Phone and Email: _____

Purpose: Security Microfilming ☐ Digitization ☐ Conservation ☐ Codification ☐ Salary ☐ Equipment/Supplies ☐
(Select all that apply)

Records	Date	Series	Cost
Example: Shelving	N/A	N/A	\$9,040
Diazo Cost for _____ Copies			
Quality Control (Add 12.5% of the microfilming cost)			
Total Cost			

****Please Attach Additional Sections, if needed.****



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Commitment of Local Government:

Explain the local government's commitment to a comprehensive records management program (appropriate disposition of records, designation of a records officer, and training of records personnel in records management techniques). Please detail how your office will commit resources to this project (adequate office, storage or working space; personnel; supplies; equipment; or a monetary contribution).

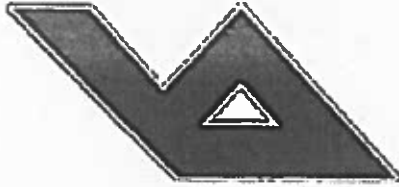
We have a scanning area just for the back scanning of these instruments. The office staff work together to ensure indexing is done correctly while scanning images & work is double-checked.

Are these records stored in secure, fire resistant facilities? If no, please explain how the project will safeguard the records in questions.

Yes ☒ No ☐

Is access to these records in compliance with the state's Open Records Law (KRS 61.870-876) and in an area with proper security and supervision? If no, please explain how this project would ensure compliance.

Yes ☒ No ☐



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Part C: Project Outcomes

1. How will this project ensure the preservation of and/or increase public access to these records? What benefit will this project be to your agency and community? How will you disseminate information about this project and its outcomes to the public?

Scanning of these books will preserve them since the original book will then be stored off-site (except the deeds & wills) and the scanned image will be available for viewing & printing. This is a great help for genealogists since images will be available online.

2. Did you consult with your Regional Administrator while completing this application? Yes ☐ No ☒

3. Can these records be removed from the office during the project? Yes ☐ No ☒ N/A ☐

4. In what format do these records exist? Select all that apply.

Paper ☒ Electronic Files ☐ Microfilm ☐ Microfiche ☐ Aperture Cards ☐

Other: _____

5. Can this project be completed within a single grant cycle (18 months)? Yes ☐ No ☐
(grant cycle for Salary grants is 48 weeks)

6. Additional information/comments:



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Part D: Certification

Statement regarding expenditure of funds: The applicant agrees that funds granted under the Local Records Program will be spent solely in accordance with the project description and budget statement presented in this application. The grant recipient acknowledges that any changes in the submitted plan of project work, funding, or length must be submitted in writing to, and approved in advance by, the Kentucky Department for Libraries and Archives.

Statement regarding archival and records management policies and procedures: The applicant agrees to comply with all policies, procedures, and standards deriving from Kentucky Revised Statutes, Kentucky Administrative Regulations, as well as the policies of the Kentucky Department for Libraries and Archives and the State Libraries, Archives, and Records Commission concerning management, preservation, reproduction, and storage of public records in addition to those dealing with the official recording of such records in government offices, whether on paper, microfilm, or other medium.

Statement regarding project status and financial expenditure reporting: The applicant agrees to submit biannual Project Status and Financial Expenditure Reports during the course of the project and at the end of the project as specified in the grant contract. The grant recipient also agrees to create a separate grant fund account, maintain separate financial and programmatic records on this project, and retain source documentation such as canceled checks, paid invoices, payrolls, or other accounting documentation, which would facilitate an audit as required by statute, regulation, or administrative procedure.

Statement regarding continued records management and preservation support: The applicant agrees to make budgetary allowance to continue the work begun by this project to better manage, preserve, and secure the current and future records of this agency. The applicant also recognizes that such an allowance is a necessary operating expense that must be budgeted for on a regular basis

Statement regarding the Americans with Disabilities Act: The applicant agrees to comply with the Title II provisions of the Americans with Disabilities Act and to submit to the Department, upon request by the Department, documentation that demonstrates compliance with the Title II requirements of the Americans with Disabilities Act.

Authorized Local Government Official

WALLACE JOHNSON

Typed or Printed Name and Title

03-07-2019

Date

Official Custodian of Records

Chris Cockrell County Clerk

Typed or Printed Name and Title

03-07-2019

Date

Attachment A
Montgomery County Clerk

Salary support scope/specifications:

Grant funds will be used for salary support to create electronic index, create images and marry images to index.

1250 hours x \$12 per hour- \$15,000.00

Removable Books

- Miscellaneous Record Books # 9,8,7,5,3,2
- Will Books E through A
- Encumbrance Book (Mechanics Lien) #3
- Commissioner Deed Books #6, 7.

Bound Books

- Mortgage Books # 1-29
- Commissioner Deed Books #1-5
- Encumbrance Books #1-2
- Encumbrance Books (Mechanics Lien) #1-2

If you have any questions, please contact:

Chris Cockrell

Montgomery County Clerk

One Court Street, Ste 2

P.O. Box 1406

Mt. Sterling, KY 40353

Phone: (859) 498-8700

Email: chris.cockrell@ky.gov